

Date of meeting Monday, 9th March, 2020
Time 7.00 pm
Venue Keele Cemetery Meeting Room - Keele
Contact Geoff Durham



**NEWCASTLE
UNDER LYME**
BOROUGH COUNCIL

Castle House
Barracks Road
Newcastle-under-Lyme
Staffordshire
ST5 1BL

Audit and Standards Committee

AGENDA

PART 1 – OPEN AGENDA

- 1 APOLOGIES**
- 2 DECLARATIONS OF INTEREST**
To receive Declarations of Interest from Members on items included in the agenda
- 3 MINUTES OF PREVIOUS MEETING** (Pages 3 - 8)
To consider the minutes of the previous meeting(s).
- 4 CORPORATE RISK MANAGEMENT REPORT- QUARTER THREE** (Pages 9 - 16)
- 5 INTERNAL AUDIT PROGRESS REPORT - QUARTER THREE** (Pages 17 - 26)
- 6 EXTERNAL AUDIT PLAN 2019-20** (Pages 27 - 44)
- 7 INFORMING THE AUDIT RISK ASSESSMENT FOR
NEWCASTLE UNDER LYME BOROUGH COUNCIL 2019 -20** (Pages 45 - 78)
- 8 UPDATE OF FINANCIAL REGULATIONS** (Pages 79 - 148)
- 9 URGENT BUSINESS**
To consider any business which is urgent within the meaning of Section 100B(4) of the Local Government Act 1972

Members: Councillors P Waring (Chair), K. Owen (Vice-Chair), S. Dymond, S. Pickup, M. Stubbs, G. Burnett and B. Panter

Members of the Council: If you identify any personal training/development requirements from any of the items included in this agenda or through issues raised during the meeting, please bring them to the attention of the Democratic Services Officer at the close of the meeting.

Meeting Quorums :- 16+= 5 Members; 10-15=4 Members; 5-9=3 Members; 5 or less = 2 Members.

SUBSTITUTE MEMBER SCHEME (Appendix 9, Section 4 of Constitution)

The Constitution provides for the appointment of Substitute members to attend Committees. The named Substitutes for this meeting are listed below:-

Substitute Members:	M. Holland	K. Robinson
	G. Hutton	G Williams
	A. Parker	B. Proctor

If you are unable to attend this meeting and wish to appoint a Substitute to attend in your place you need to:

- Identify a Substitute member from the list above who is able to attend on your behalf
- Notify the Chairman of the Committee (at least 24 hours before the meeting is due to take place) NB Only 2 Substitutes per political group are allowed for each meeting and your Chairman will advise you on whether that number has been reached

Officers will be in attendance prior to the meeting for informal discussions on agenda items.

NOTE: THERE ARE NO FIRE DRILLS PLANNED FOR THIS EVENING SO IF THE FIRE ALARM DOES SOUND, PLEASE LEAVE THE BUILDING IMMEDIATELY THROUGH THE FIRE EXIT DOORS.

ON EXITING THE BUILDING, PLEASE ASSEMBLE AT THE FRONT OF THE BUILDING BY THE STATUE OF QUEEN VICTORIA. DO NOT RE-ENTER THE BUILDING UNTIL ADVISED TO DO SO.